



RECEIVED

NOV 20 2002

PTO/SB/92 (12-97)  
Approved for use through 9/30/00. OMB 0651-0031  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

TECH CENTER 1600/2900

Docket No.: A080 US

### Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

on November 14, 2002

Date

Signature

Jacqueline L. Marlier

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

1. General Transmittal Form (1 pg.)
2. Copy of International Search Report (11 pgs.)
3. Copy of U.S. Application Number 10/077,438 - A080 USCIP (66 pgs.)
4. Copy of U.S. Application Number 10/115,192 - A083US (82 pgs.)
5. Copy of U.S. Application Number 09/911,777 - A070US (88 pgs.)
6. PTO Form 1449 (2 pgs.)
7. Information Disclosure Statement Transmittal Form (3 pgs.)
8. Information Disclosure Statement - Supplemental Communication (2 pgs.)
9. Copies of Cited References (BA-BD & CA - CJ)
10. Return Receipt Postcard

RECEIVED

NOV 20 2002

TECH CENTER 1600/2900



RECEIVED

NOV 20 2002

TECH CENTER 1600/2900

Approved for use on 10/19/2000 by 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCEPlease type a plus sign (+) inside this box → ☐

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Applicati n Numb r	10/077137	
	Filing Date	2/15/2002	
	First Named Inventor	Browning, Jeffrey	
	Group Art Unit	<del>1633</del> 1644	
	Examiner Name	TBD	
Total Number of Pages in This Submission	244	Attorney Docket Number	A080 US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) PTO Form 1449 & cited refs.; IDS - Suppl. Communication; Copy of Int'l Search Report; Copies of US Applications 10/077438, 10/115192 & 09/911777
<input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)		
<input type="checkbox"/> Extension of Time Request		
<input type="checkbox"/> Express Abandonment Request		
<input checked="" type="checkbox"/> Information Disclosure Statement		
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks The Commissioner is hereby authorized to charge any additional fees to Deposit Account No. 02-2327.		

RECEIVED

NOV 20 2002

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Timothy P. Linkkila Reg. No. 40,702
Signature	<i>Timothy P. Linkkila</i>
Date	November 14, 2002

0082009 10/19/2000

NOV 20 2002

RECEIVED

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Nov. 14, 2002			
Typed or printed name	Jacqueline L. Marlier		
Signature	<i>J. Marlier</i>	Date	November 14, 2002

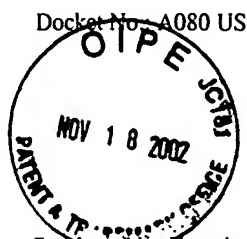
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED

NOV 20 2002

TECH CENTER 1600/2900

P#5



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Examiner:

TBD

Browning, et al.

Group Art Unit:

~~4633~~ 1644

Serial No.: 10/077,137

Filing Date: February 15, 2002

For: BAFF RECEPTOR (BCMA), AN IMMUNOREGULATORY AGENT

## CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on:

11/14/2002  
Date  
Jacqueline L. MarlierINFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.129(a), or

(First/Second submission after Final Rejection)

☒ under 37 CFR 1.97(b), or

(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).

☐ under 37 CFR 1.97(c) together with either:☐ a Statement under 37 CFR 1.97(e), as checked below, or☐ a \$180.00 fee under 37 CFR 1.17(p), or

(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

☐ under 37 CFR 1.97(d) together with:☐ a Statement under 37 CFR 1.97(e), as checked below, and☐ a \$180.00 fee under 37 CFR 1.17(p), or

(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)

☐ under 37 CFR 1.97(i):

Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper.

(Filed after payment of issue fee)

RECEIVED

NOV 20 2002

TECH CENTER 1600/2900

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
  - ☒ Copies of the cited references are enclosed.
  - ☐ Copies of cited references are not enclosed; said references were cited in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the cited references.]
  - ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.
  - ☐ The "concise explanation" requirement (non-English references) for reference(s) [ ] under 37 CFR 1.98(a)(3) is satisfied by:
    - ☐ the explanation provided on the attached sheet.
    - ☐ the explanation provided in the Specification.
    - ☐ submission of the enclosed International Search Report.
    - ☐ the enclosed English language abstract.

[ X ] Applicant requests that the following non-published pending applications be considered:

Examiner's  
Initials

\_\_\_\_\_ U.S. Patent Application No. 10/077,438, by Browning et al., filed February 15, 2002,  
Docket No.: A080 USCIP

\_\_\_\_\_ U.S. Patent Application No. 10/115,192, by Schneider et al., filed April 2, 2002, Docket  
No.: A083 US

\_\_\_\_\_ U.S. Patent Application No. 09/911,777, by Browning et al., filed July 24, 2001, Docket  
No.: A070 US

Examiner

Date

- [ X ] A copy of each above-cited application, including the current claims, is enclosed.
- [ ] A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

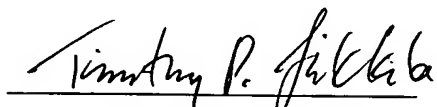
It is requested that the information disclosed herein be made of record in this application.

Method of payment:

- [ ] A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.
- [ ] Please charge Deposit Account 02-2327 in the amount of \$[ ]. A copy of this Statement is enclosed.
- [X] Please charge any deficiency in fees and credit any overpayment to Deposit Account 02-2327.

Respectfully submitted,

Date: 11/14/02



Timothy P. Linkkila  
Reg. No. 40,702  
BIOGEN, INC.  
14 Cambridge Center  
Cambridge, MA 02142  
(617) 679-3795 (Direct)  
(617) 679-2838 (Facsimile)